| **UTILITY PATENT APPLICATION TRANSMITTAL** | *Attorney Docket No.* | 16869C-013610US |
|---|---|---|
| | *First Inventor* | Yoshitaka Bito |
| | *Title* | Method for Visualizing Multidimensional Data |
| *(Only for new nonprovisional applications under 37 CFR 1.53(b))* | *Express Mail Label No.* | EV 346 925 779 US |

## APPLICATION ELEMENTS
*See MPEP chapter 600 concerning design patent application contents.*

**ADDRESS TO**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
   *(Submit an original and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status.
   See 37 CFR 1.27.
3. ☒ Specification      [*Total Pages* 22 ]
   *(preferred arrangement set forth below)*
   - Title Sheet
   - Descriptive title of the Invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table,
     or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings ( *if filed*)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113)    [*Total Sheets* 5 ]
5. ☐ Oath or Declaration    [*Total Pages* 2 ]
   a. ☐ Newly executed (original or copy)
   b. ☒ Copy from a prior application (37 CFR 1.63 (d))
      *(for a continuation/divisional with Box 18 completed*
      i. ☐ **DELETION OF INVENTOR(S)**
      Signed statement attached deleting inventor(s)
      named in the prior application, see 37 CFR
      1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
   Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission
   *(if applicable, all necessary)*
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper number of pages
   c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement    ☐ Power of
    *(when there is an assignee)*    Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure    ☐ Copies of IDS
    Statement (IDS)/PTO-1449    Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
    *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)
    *(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 U.S.C. 122
    (b)(2)(B)(i). Applicant must attach form PTO/SB/35
    or its equivalent
17. ☒ Other: Copy of Petition to Extend Time, Transmittal
    Letter, Fee Transmittal, and Postcard from Parent Application

*(right margin, vertical text):* 22141   10/714254   U.S. PTO   111403

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18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:*
☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No: <u>09/687,058, October 12, 2000</u>
*Prior application information:*    Examiner <u>Huedung X Cao</u>      Art Unit: <u>2671</u>

**For CONTINUATION OF DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

☒ Customer Number      **20350**      *OR*    ☐ Correspondence address below

| Name | |
|---|---|
| Address | |

| City | | State | | Zip Code | |
|---|---|---|---|---|---|
| Country | | Telephone | | Fax | |

| Name (Print/Type) | George B. F. Yee | Registration No. (Attorney/Agent) | 37,478 |
|---|---|---|---|
| Signature | *George B F Yee* | Date | November 14, 2003 |

60082917 v1

# FEE TRANSMITTAL
# for FY 2004

*Effective 10/01/2003. Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** | ($) 1512

| Complete if Known | |
|---|---|
| Application Number | Unassigned |
| Filing Date | Herewith |
| First Named Inventor | Bito, Yoshitaka |
| Examiner Name | Unassigned |
| Art Unit | Unassigned |
| Attorney Docket No. | 16869C-013610US |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number: **20-1430**

Deposit Account Name: **Townsend and Townsend and Crew LLP**

The Director is authorized to: (check all that apply)
☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, **except for the filing fee**
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | | Small Entity | | | |
|---|---|---|---|---|---|
| Fee Code | Fee ($) | Fee Code | Fee ($) | Fee Description | Fee Paid |
| 1001 | 770 | 2001 | 385 | Utility filing fee | 770 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

**SUBTOTAL (1)** ($)770

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | | Fee from below | | Fee Paid |
|---|---|---|---|---|---|
| Total Claims | 23 | -20** = 3 | X $18 | = | $54 |
| Independent Claims | 11 | -3** = 8 | X $86 | = | $688 |
| Multiple Dependent | | | X | = | |

| Large Entity | | Small Entity | | |
|---|---|---|---|---|
| Fee Code | Fee ($) | Fee Code | Fee ($) | Fee Description |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** ($)742

**or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

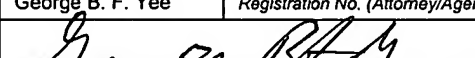| Large Entity | | Small Entity | | | Fee Paid |
|---|---|---|---|---|---|
| Fee Code | Fee ($) | Fee Code | Fee ($) | Fee Description | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1453 | 1,330 | 2453 | 655 | Petition to revive – unintentional | |
| 1501 | 1,330 | 2501 | 655 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) ---------------------------------------------

*Reduced by Basic Filing Fee Paid  **SUBTOTAL (3)** ($)

## SUBMITTED BY

| | | Complete (if applicable) | | | |
|---|---|---|---|---|---|
| Name (Print/Type) | George B. F. Yee | Registration No. (Attorney/Agent) | 37,478 | Telephone | 650-326-2400 |
| Signature | *[signature]* | | | Date | November 14, 2003 |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## FILING ACKNOWLEDGMENT

Mailing Date:     11/14/03

File No.:     16869C-013600US     Attorney:     GBFY:cmm

Inventor(s):     Yoshitaka Bito et al.

Title:     METHOD FOR VISUALIZING MULTIDIMENSIONAL DATA

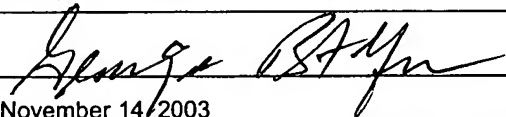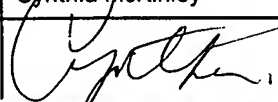Re: Application No. 09/687,058, Filed October 12, 2000

Enclosed:

1. Transmittal Letter
2. Fee Transmittal (submitted in duplicate)
3. Petition for Extension of Time
4. Postcard.

Please stamp the date of receipt of the enclosed documents and return this card to addressee

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

| | |
|---|---|
| Application Number | 09/687,058 |
| Filing Date | October 12, 2000 |
| First Named Inventor | Yoshitaka Bito |
| Art Unit | 2671 |
| Examiner Name | Huedung X. Cao |

| | | |
|---|---|---|
| Total Number of Pages in This Submission | 4 | |
| Attorney Docket Number | | 16869C-013600US |

## ENCLOSURES *(Check all that apply)*

- ☒ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (**Appeal Notice, Brief, Reply Brief**)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below)*:

Return Postcard

| Remarks | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |
|---|---|

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| Firm or Individual | Townsend and Townsend and Crew LLP |
|---|---|
| | George B. F. Yee               Reg. No. 37,478 |
| Signature | *[signature]* |
| Date | November 14, 2003 |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| Typed or printed name | Cynthia McKinley | | |
|---|---|---|---|
| Signature | *[signature]* | Date | November 14, 2003 |

60082885 v1

| PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) | Docket Number (Optional)<br>16869C-013600US |
|---|---|

| In re Application of Yoshitaka Bito et al. | |
|---|---|
| Application Number 09/687,058 | Filed October 12, 2000 |
| For METHOD FOR VISUALIZING MULTIDIMENSIONAL DATA | |
| Art Unit 2671 | Examiner Huedung X. Cao |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

☒ One month (37 CFR 1.17(a)(1))          $110

☐ Two months (37 CFR 1.17(a)(2))          $

☐ Three months (37 CFR 1.17(a)(3))          $

☐ Four months (37 CFR 1.17(a)(4))          $

☐ Five months (37 CFR 1.17(a)(5))          $

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: $ .

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 20-1430.

(See attached Fee Transmittal submitted in duplicate)

I am the ☐     applicant/inventor.

       ☐     assignee of record of the entire interest. See 37 CFR 3.71<br>               Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
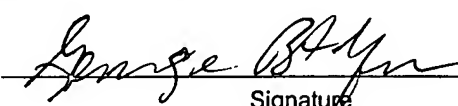
       ☒     attorney or agent of record.. Registration Number 37,478

       ☐     attorney or agent under 37 CFR 1.34(a).

          Registration number if acting under 37 CFR 1.34(a). _____ .

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

| November 14, 2003 | _George B. F. Yee_ (signature) |
|---|---|
| Date | Signature |
| | George B. F. Yee, Reg. No. 37,478 |
| | Typed or printed name |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.